

# Diving into the Forecast with Ensemble Clustering and Sensitivity Analysis: Insights from the 2024 FFaIR Experiment and Applications to Winter Weather & ARs

Austin Coleman<sup>1</sup>, Jim Nelson<sup>2</sup>, Brian Colle<sup>3</sup>, Travis Wilson<sup>4</sup>, and Sai Yashwanth Brahmadevara<sup>3</sup>

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<sup>1</sup>CIRES/WPC, <sup>2</sup>NOAA WPC, <sup>3</sup>Stony Brook University, <sup>4</sup>NOAA GSL

# Motivation

As technology improves and NWS responsibilities expand

Forecasters have access to **more data** with simultaneously **less time** to interrogate those data

The National Blend of Models (NBM) is frequently used as a first-guess for said forecasts

Blends a large amount of forecast data, but can be seen as a black box


Forecasters desire more information about what makes up the NBM

Ensemble mean of NBM's sub-ensemble systems (CMCE, GEFs, and ECMWF) is one way to quickly summarize solutions

**Problem:** it often washes out important nuance amongst ensemble membership

# Motivation

Ensemble Member 24-hour Mean 500 mb Heights and Anomalies [m] Init: 0000 UTC Wed May 18 2022 --> Valid: 0000 UTC Tue May 24 2022



**Solution? Develop a clustering approach to break down an ensemble forecast into its most prevalent scenarios!**

This isn't a viable answer either!

# But first, how does clustering work?

Relies heavily on Empirical Orthogonal Function (EOF) Analysis, traditionally known in statistics as Principal Component Analysis (PCA)

Empirical Orthogonal Function (EOF) Analysis decomposes a series of spatial fields into mathematically-independent (orthogonal) modes

Method most often used by climatologists to understand leading spatial modes of variability in a time series

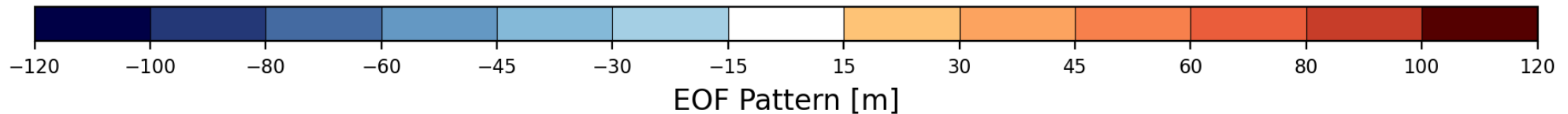
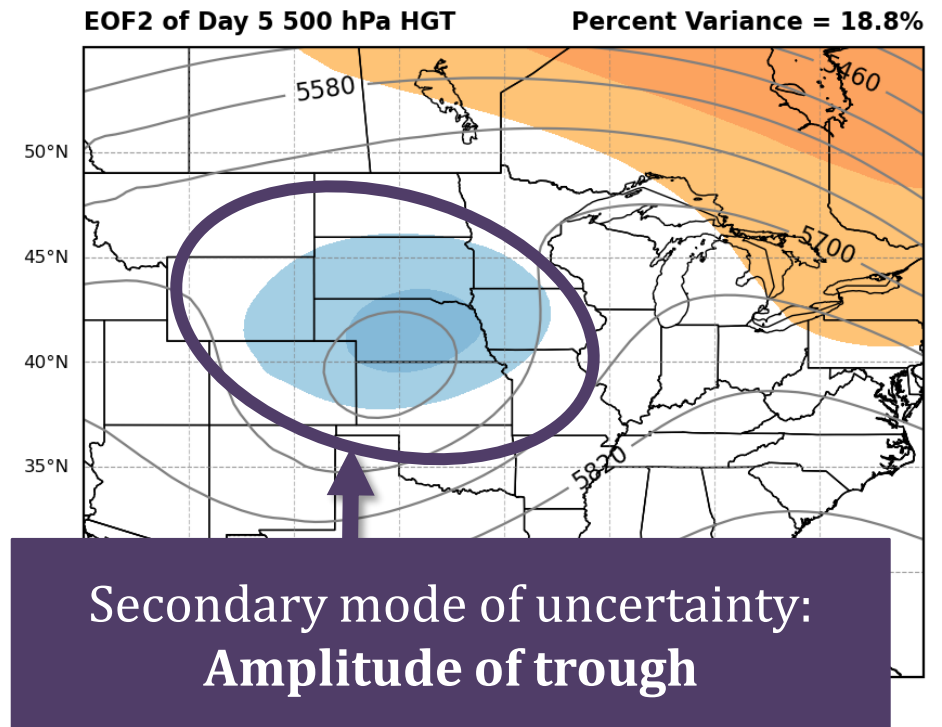
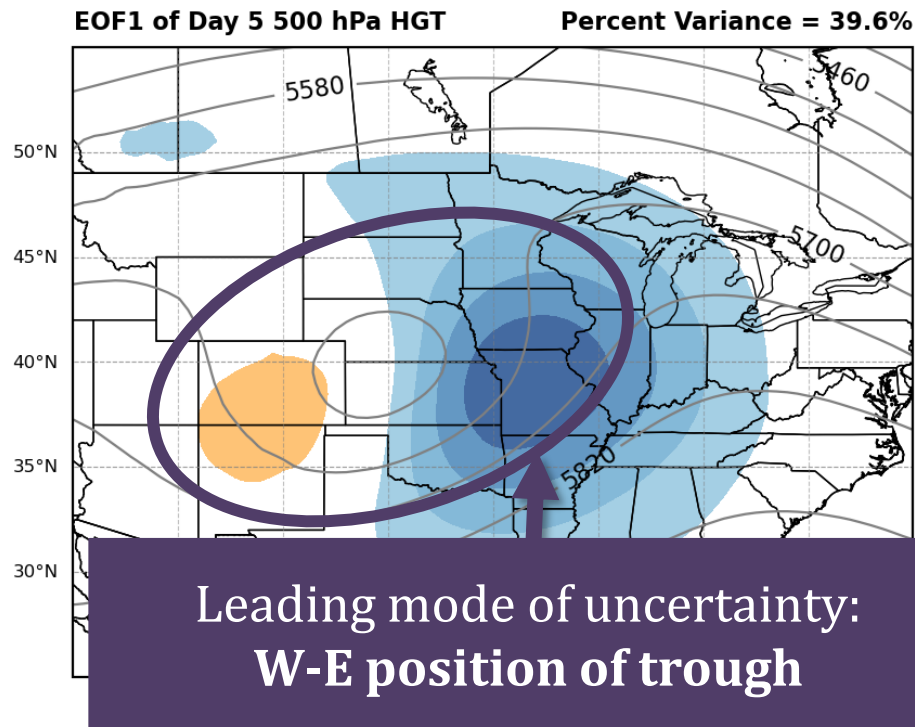
For example, it could be used to answer the question: *What wind patterns are typically associated with various phases of the North Atlantic Oscillation?*

For ensemble clustering, we seek to identify the **leading spatial modes of variability amongst the ensemble membership**

# We break down the forecast (super-ensemble of CMCE, GEFS, & ECMWF) into its leading modes of variability via EOF Analysis

**EOFs of 24-hour Mean 500-hPa Heights [meters]**

**Init: 00Z Mon Nov 4 2024 --> Valid: 24-hours Ending 00Z Sun Nov 10 2024**



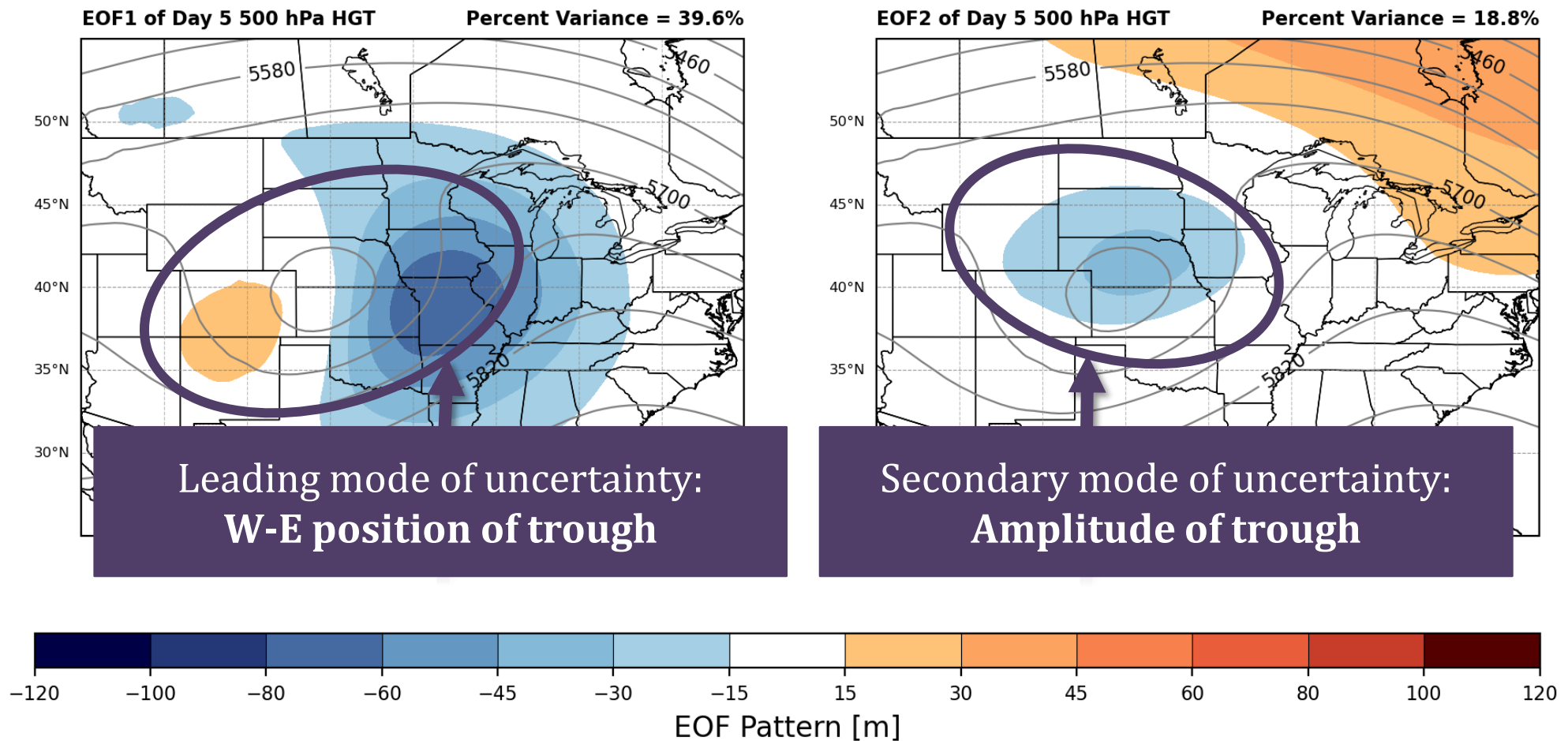
# Common source of confusion:

## *What do the positives and negatives mean?*

- Sign doesn't matter when looking at the EOFs themselves
- Only becomes important once we start clustering or looking at members in PC phase space

### EOFs of 24-hour Mean 500-hPa Heights [meters]

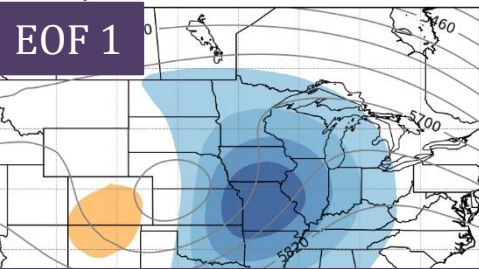
Init: 00Z Mon Nov 4 2024 --> Valid: 24-hours Ending 00Z Sun Nov 10 2024



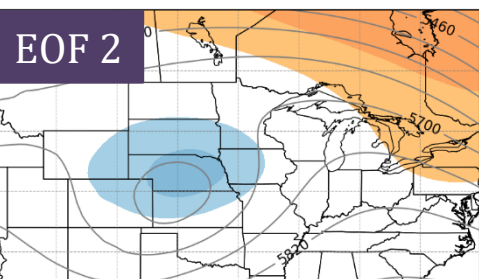
# How does it work?

Next, we group similar ensemble solutions together with clustering

First two EOFs for reference



Leading uncertainty mode:  
W-E position of trough



Secondary uncertainty mode:  
Amplitude of trough

Projecting ensemble members into PC phase space shows us the forecast scenario for each member

Members with positive PC1 will look more like EOF1 (*trough shift E*)

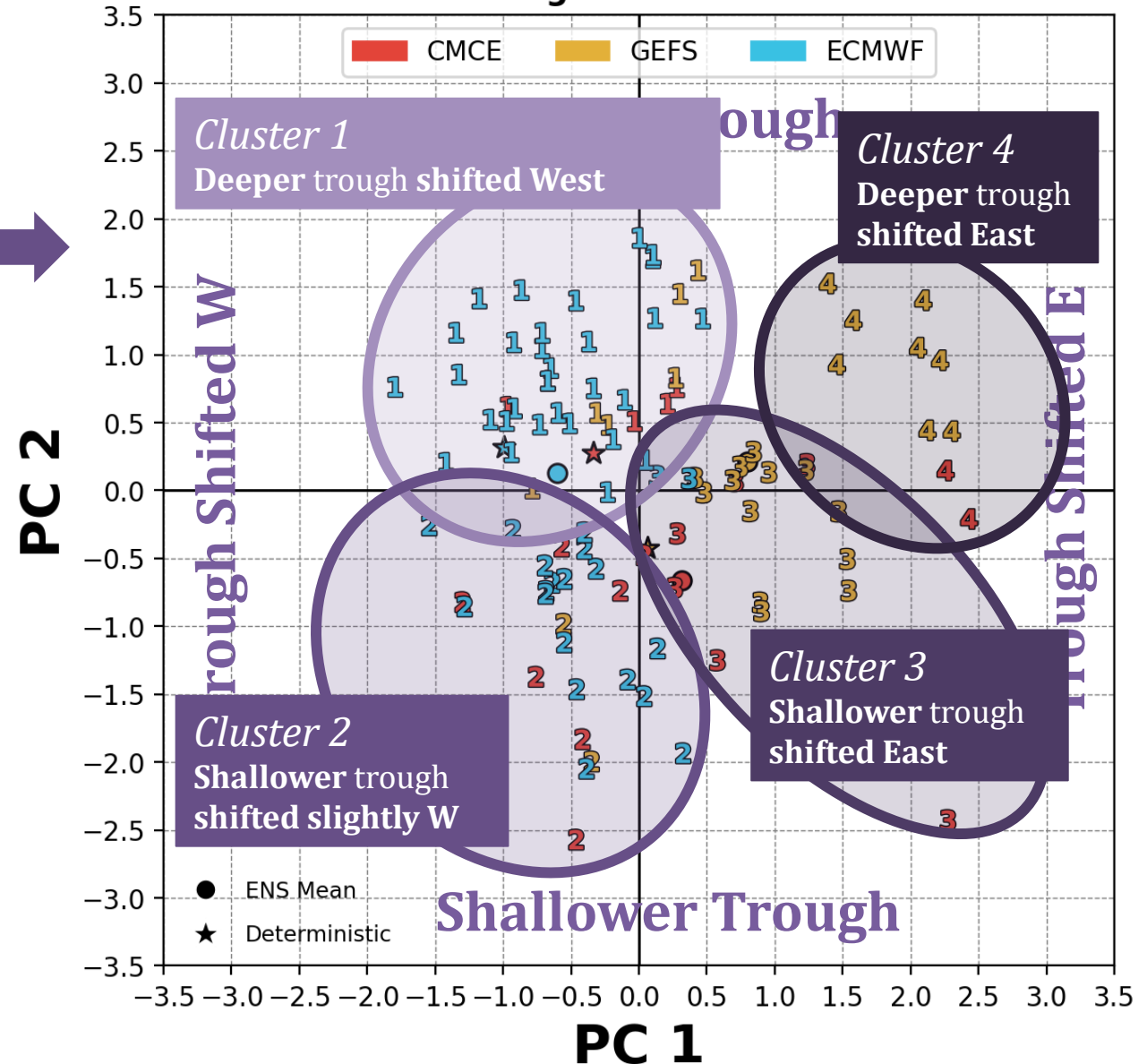
whereas members with negative PC1 will look opposite EOF1 (*trough shift W*)

K-means Clustering groups members with similar solutions based on our leading modes of uncertainty

PC1-PC2 Phase Space

Init: 00Z Mon Nov 4 2024 -->

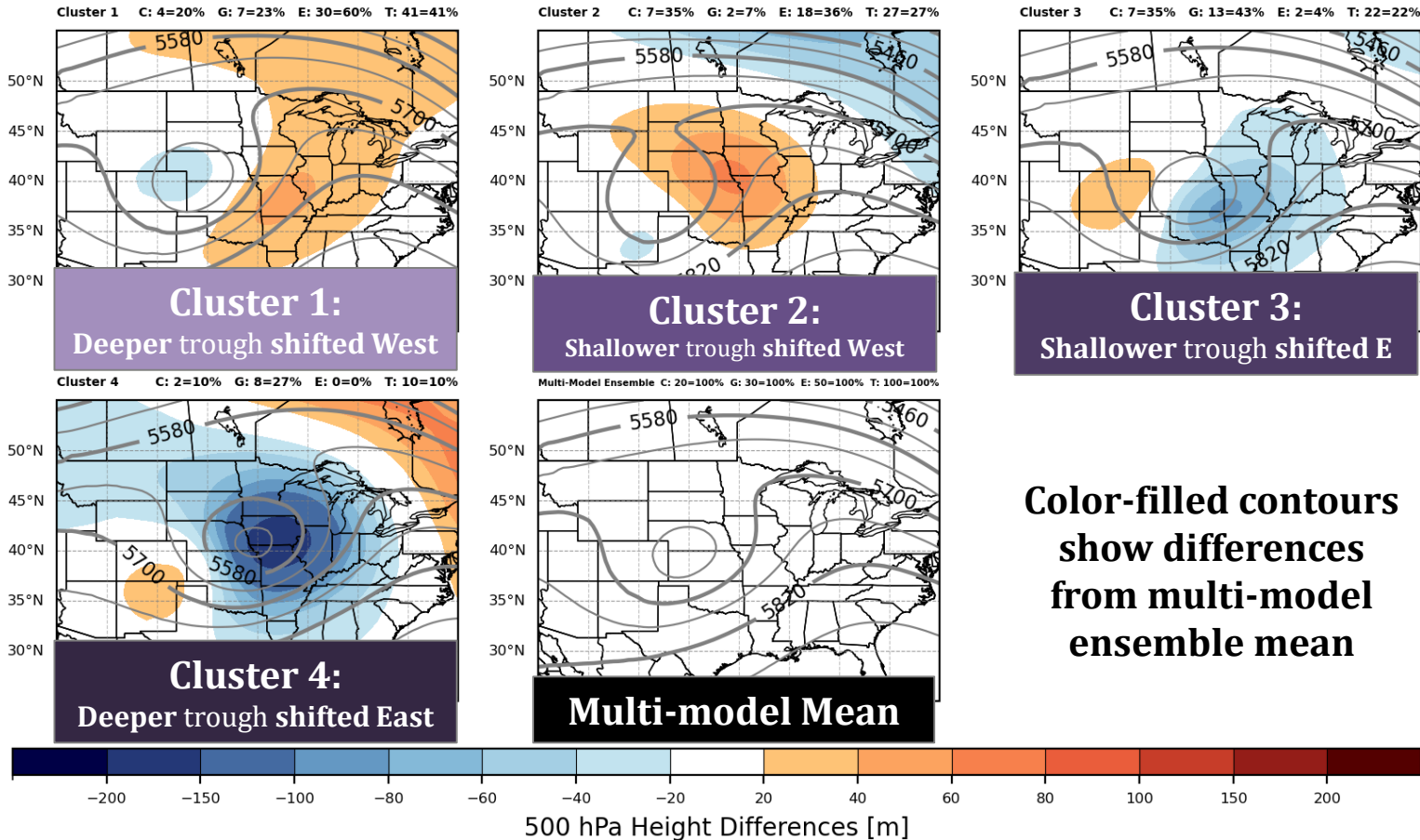
Valid: 24-hours Ending 00Z Sun Nov 10 2024



# Don't even need to look at EOFs or PCs to use!

Can skip straight to the cluster forecasts (of 500-hPa heights in this case)

**Cluster Mean 24-hour Mean 500-hPa Heights and Difference from Multi-Model Mean [m]**  
Init: 00Z Mon Nov 4 2024 --> Valid: 24-hours Ending 00Z Sun Nov 10 2024

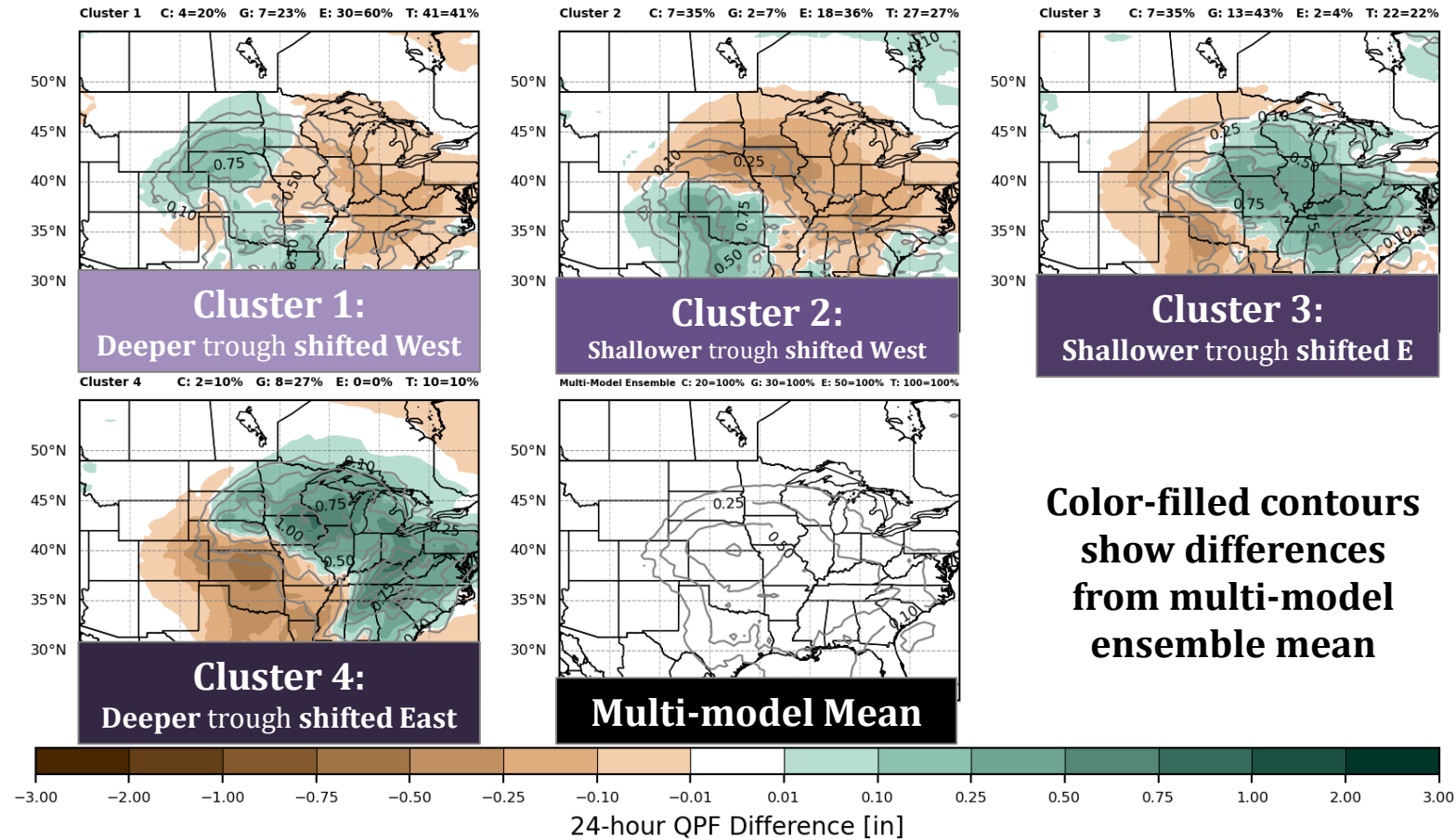




# Can use 500-hPa height clusters to predict other fields

24-hr QPF

**24-hour QPF Difference from Multi-Model Mean [in]**  
**Init: 00Z Mon Nov 4 2024 --> Valid: 24-hours Ending 00Z Sun Nov 10 2024**



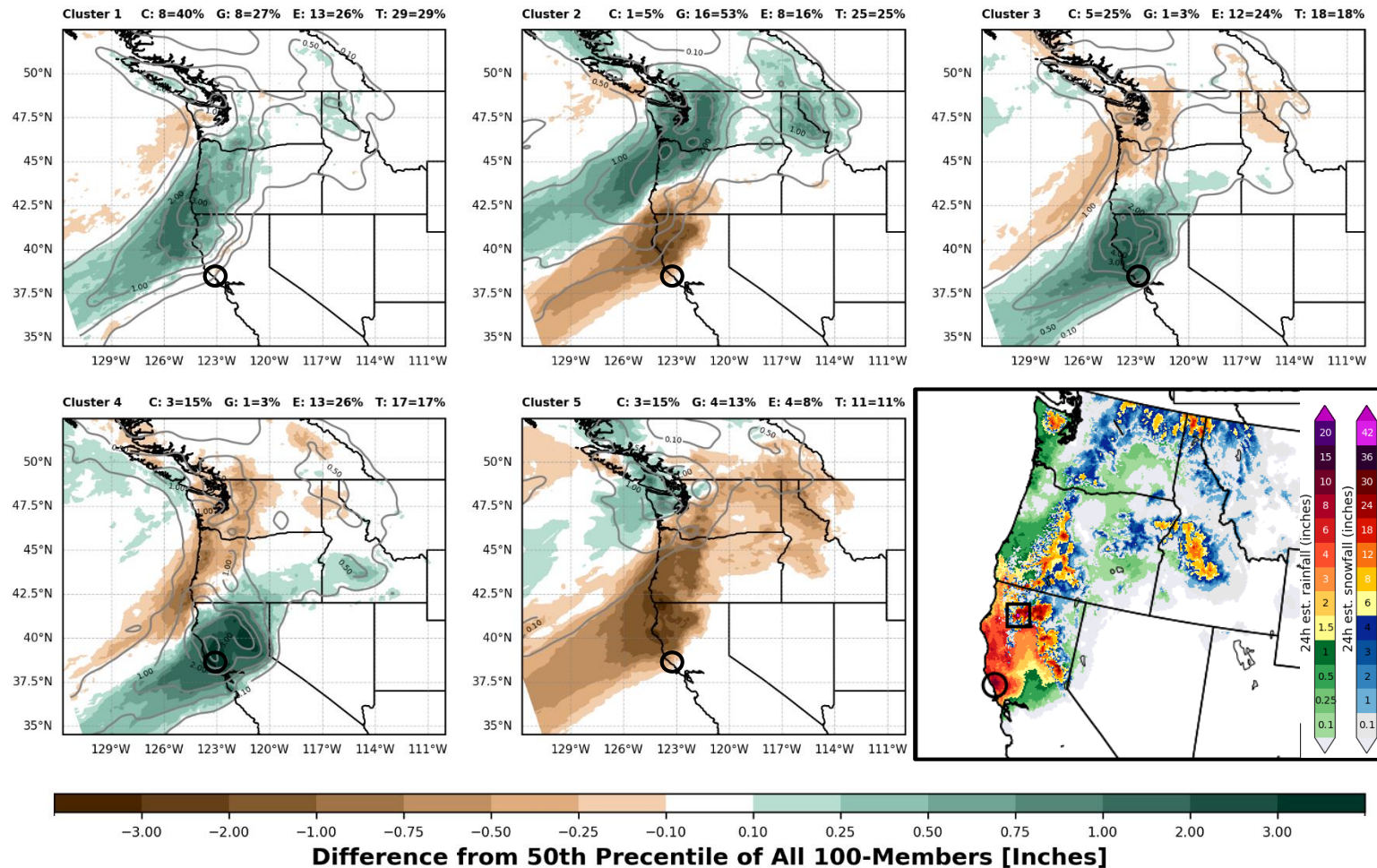
# We additionally have a WPC page that clusters directly on QPF!

## Algorithm

- ✓ Identifies QPF objects (0.50" Day 5 QPF object shown here)
- ✓ Picks a varying number of clusters based on the silhouette score (5 clusters picked here)
- ✓ Provides more skillful QPF scenarios than using QPF derived from 500-hPa height clusters!

(Kiel and Colle 2024)

24-hour QPF 50th Percentile Difference [Inches]  
Init: 1200 UTC Fri Nov 15 2024 --> Valid: 24-hours Ending 1200 UTC Thu Nov 21 2024



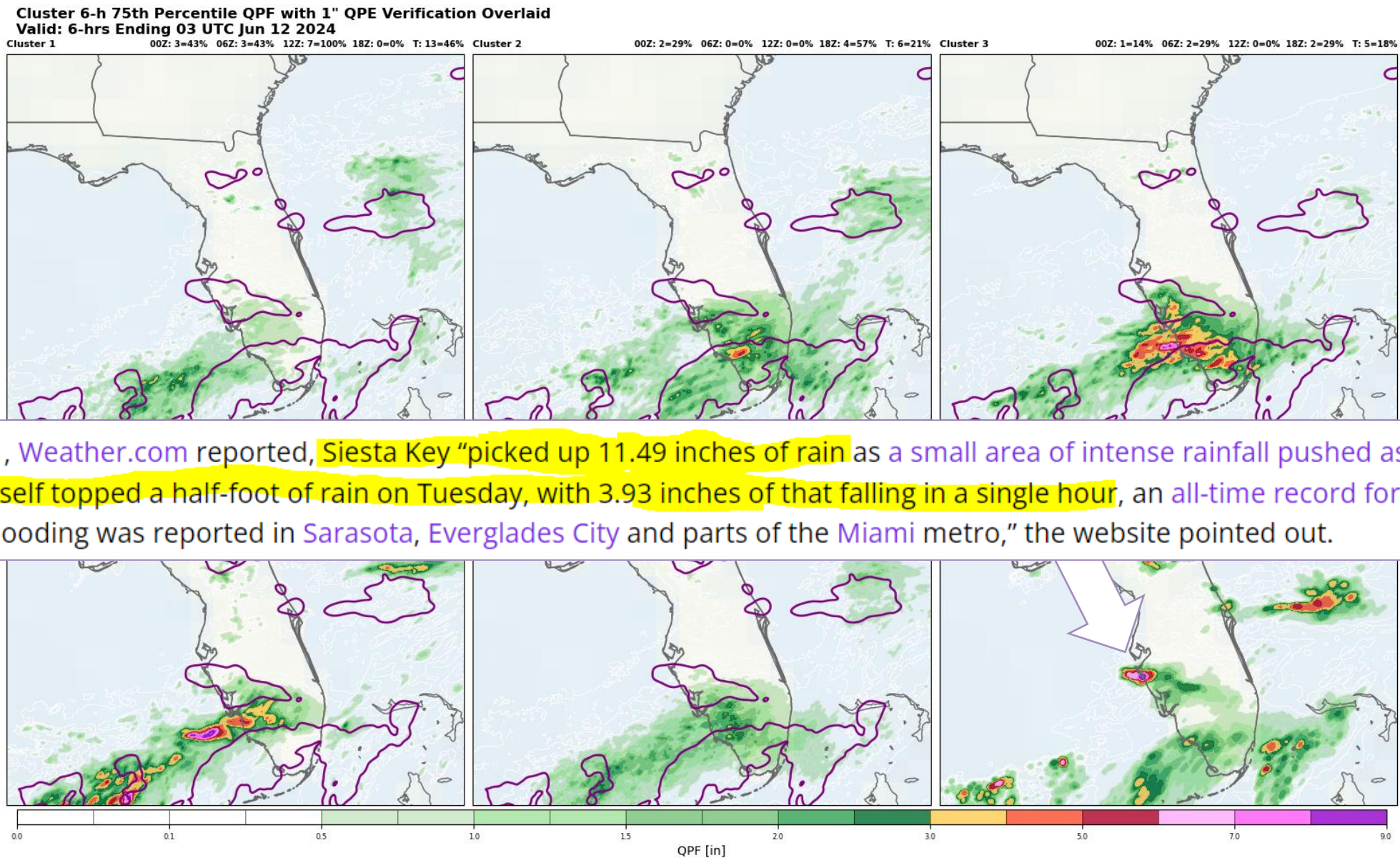
# But what about QPF from Convection-allowing Ensembles?

We experimentally applied this method to a time-lagged RRFS ensemble system for the 2024 FFaIR

REFS Configuration used throughout most of FFaIR

06 UTC Cycle	00 UTC Cycle	18 UTC (D-1) Cycle	12 UTC (D-1) Cycle
m1 (ctrl) 06Z	m8 (m1 00Z)	m15 (m1 18Z Day - 1)	m22 (m1 12Z Day - 1)
m2 06Z	m9 (m2 00Z)	m16 (m2 18Z Day - 1)	m23 (m2 12Z Day - 1)
m3 06Z	m10 (m3 00Z)	m17 (m3 18Z Day - 1)	m24 (m3 12Z Day - 1)
m4 06Z	m11 (m4 00Z)	m18 (m4 18Z Day - 1)	m25 (m4 12Z Day - 1)
m5 06Z	m12 (m5 00Z)	m19 (m5 18Z Day - 1)	m26 (m5 12Z Day - 1)
m6 06Z	m13 (m6 00Z)	m20 (m6 18Z Day - 1)	m27 (m6 12Z Day - 1)
m7 HRRR 06Z	m14 HRRR 00Z	m21 HRRR 18Z (Day - 1)	m28 HRRR 12Z (Day - 1)

# The next day, participants subjectively verify MRTTP clusters with MRMS observations



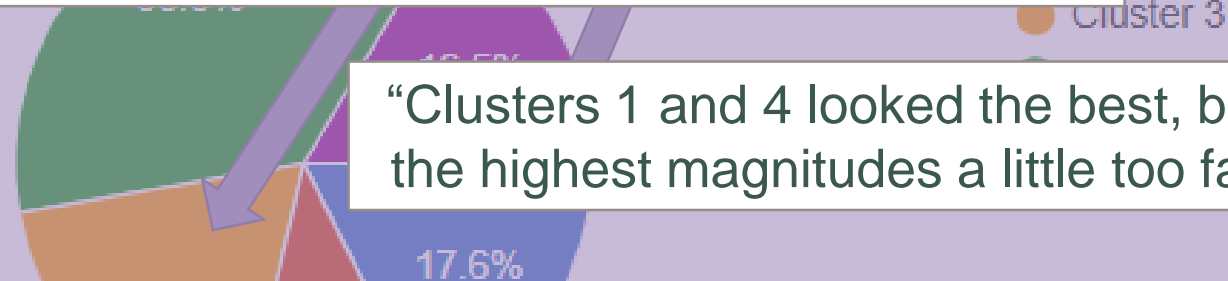
# Summary of Subjective Evaluation Results!

Cluster 4 tends to perform better than Cluster 3 and Cluster 1 tend to follow as

“Clusters 1 and 4 were consistently the best performing clusters throughout the week. I don't know what is special about those two particular clusters, but they stood out to me as the most useful/helpful.”

scenarios

le, Cluster 1 (which could theoretically



“Clusters 1 and 4 looked the best, but cluster 1 had the highest magnitudes a little too far to the south.”

“Clusters 1 and 4 looked the best to me, but I thought cluster 1 had a slight edge as far as the structure and magnitude.”

# Why does Cluster 4 tend to subjectively outperform the full ensemble most often?

The 14-member REFS composed of the two most recent cycles (half the size of the RRFSe system used here) depicts more binary probabilities than the HREF\*

Suggests under dispersive ensemble

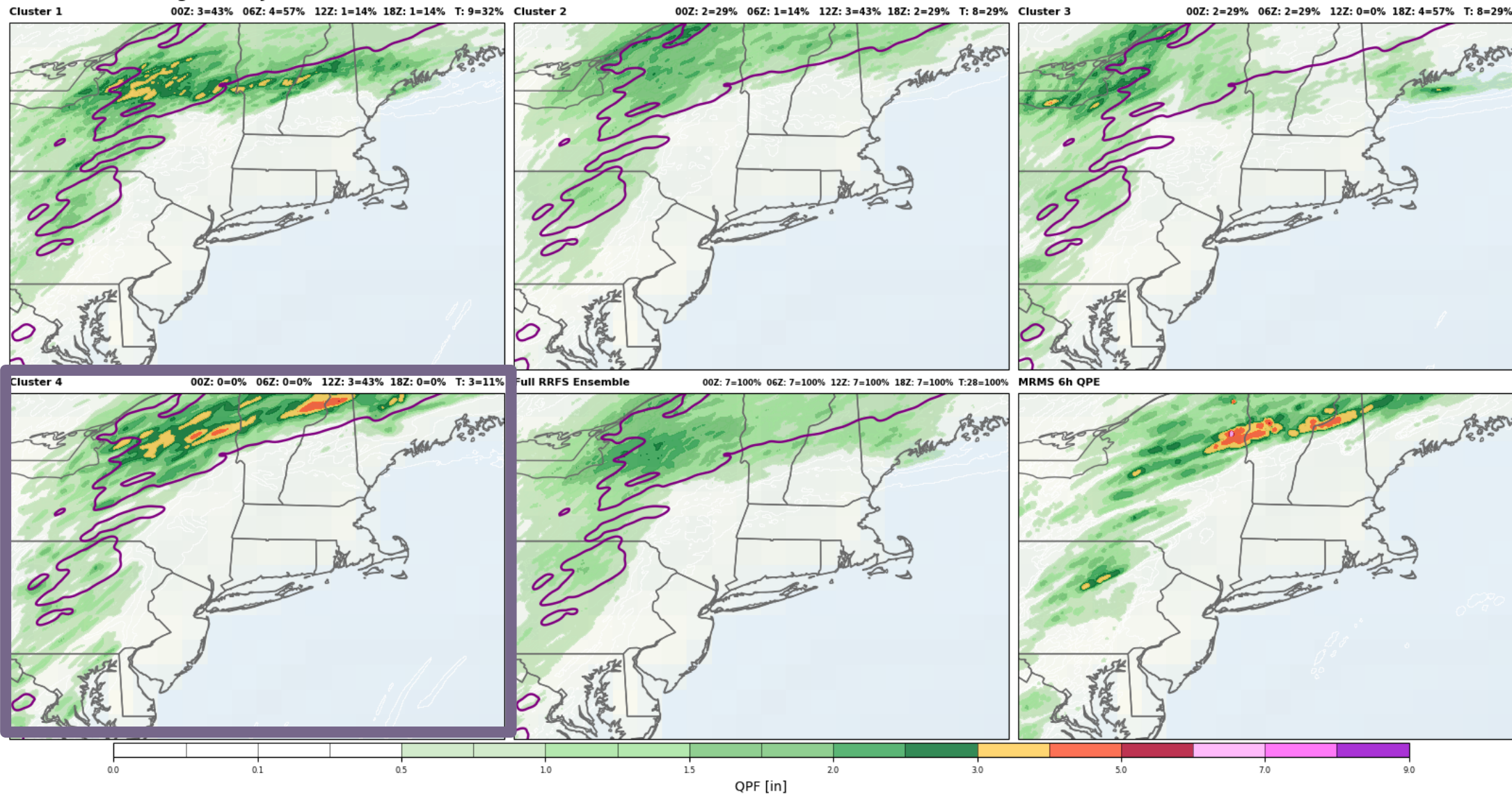
\*from Matt Pyle's FFaIR Seminar

Assuming our 28-member RRFSe system is similarly under dispersive, it makes sense that the “outlier” clusters might have a better chance of capturing the correct outcome

# Relatively low spatial and magnitude variability of QPF among clusters

- Cluster 4 consists of three “extreme” members, depicting higher QPF amounts than the remaining members

**Cluster 6-h 75th Percentile QPF with 1" QPE Verification Overlaid**  
**Valid: 6-hrs Ending 03 UTC Jul 11 2024**



# Why does Cluster 4 tend to subjectively outperform the full ensemble most often?

The 14-member binary probability

Suggests und

\*from Matt P

Assuming our 2 have a better ch

“I think the finer resolution detail of cluster 4 bumps it ahead of the full ensemble, in my opinion. I want to see that higher resolution detail from a deterministic member and if it can be captured in a cluster of the ensemble, even better!”

(re) depicts more

clusters might

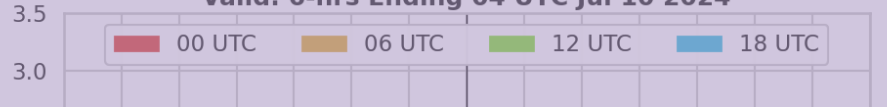
Alternatively, participants may prefer the visualization of Cluster 4 to the others due to its more deterministic appearance!

**Suggests visualization could be improved for remaining clusters!**

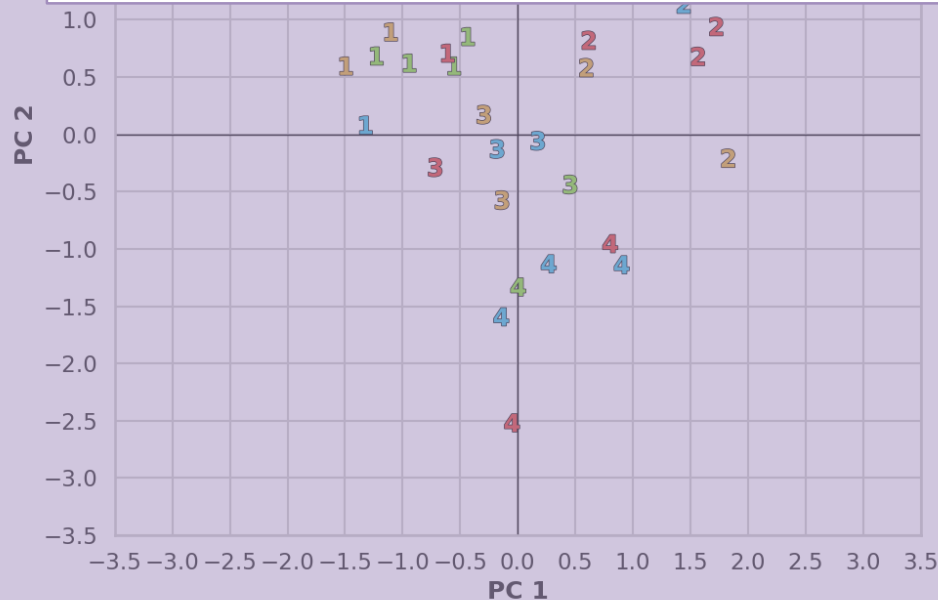


While under dispersive as a whole, the time-lagged ensemble members seem to be represented equally amongst clusters!

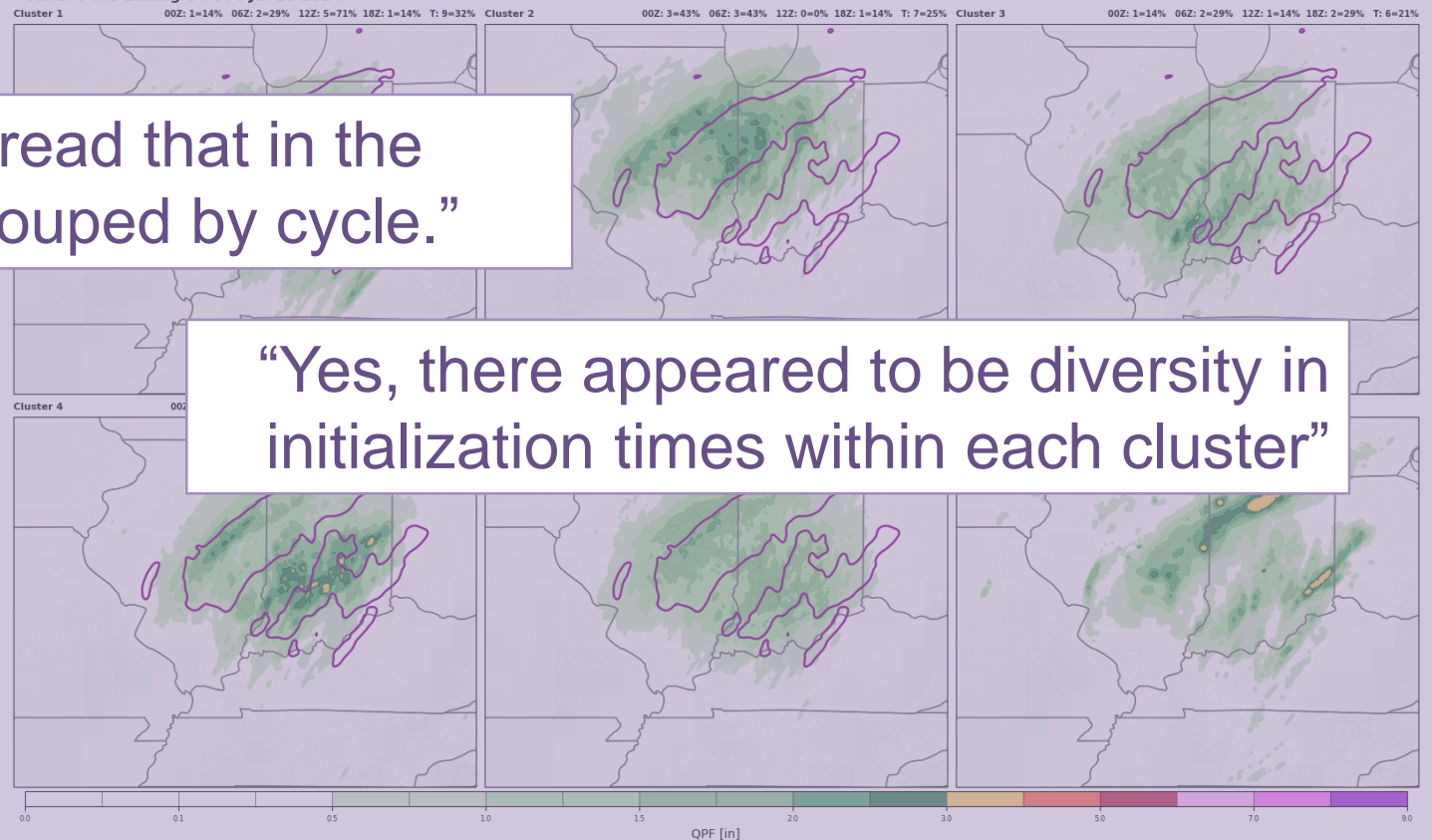
PC1-PC2 Phase Space  
Valid: 6-hrs Ending 04 UTC Jul 10 2024



“They seemed to be enough spread that in the models and they weren't just grouped by cycle.”



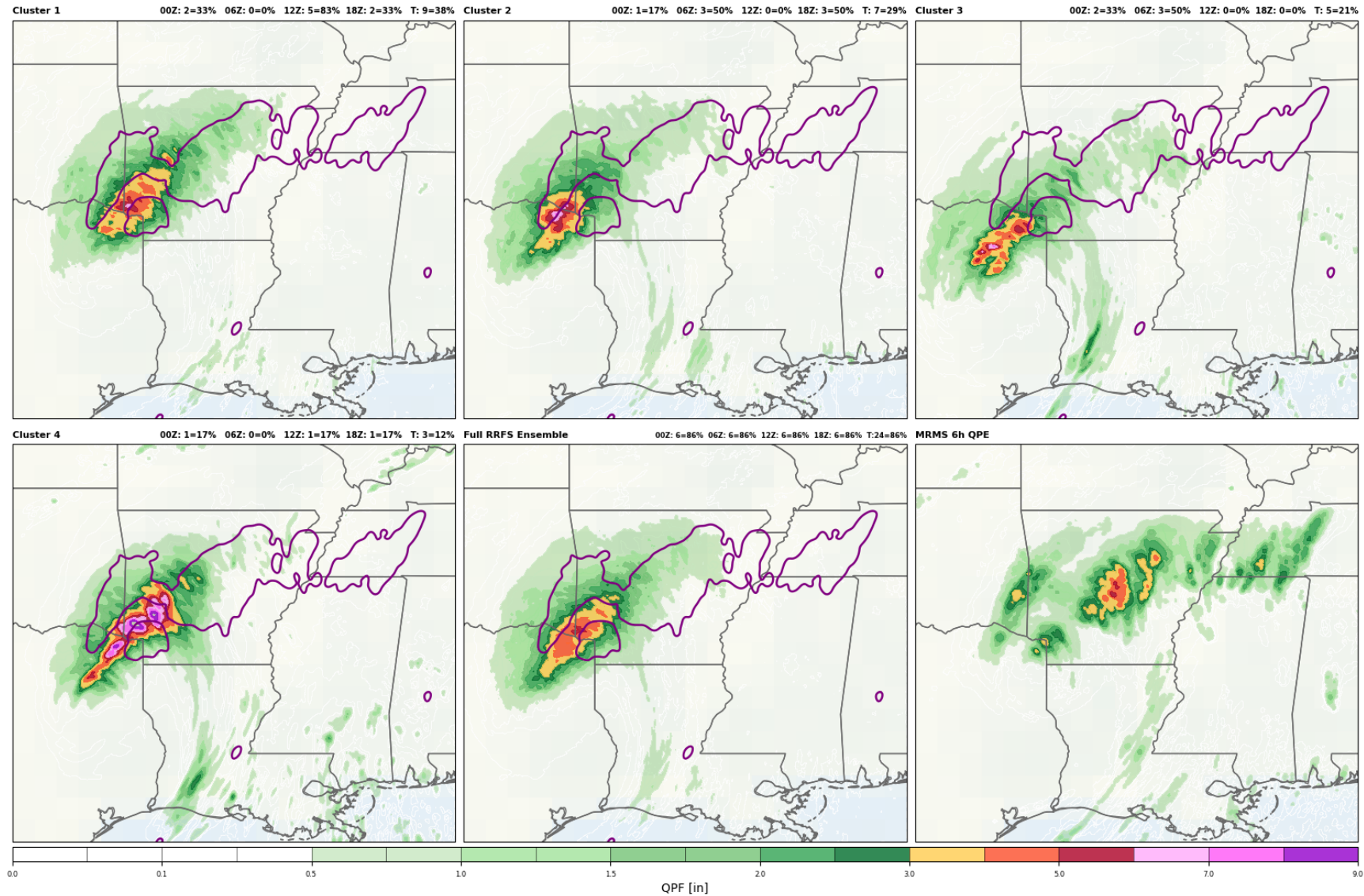
Cluster 6-h 75th Percentile QPF with 1" QPE Verification Overlaid  
Valid: 6-hrs Ending 04 UTC Jul 10 2024



“Yes, there appeared to be diversity in initialization times within each cluster”

Having diversity of cycles within each cluster did not always lead to diversity of scenarios!  
- For post-landfall Beryl clusters, we had spread in QPF magnitudes but little spread in placement of QPF Max

**Cluster 6-h 75th Percentile QPF with 1" QPE Verification Overlaid**  
**Valid: 6-hrs Ending 08 UTC Jul 09 2024**

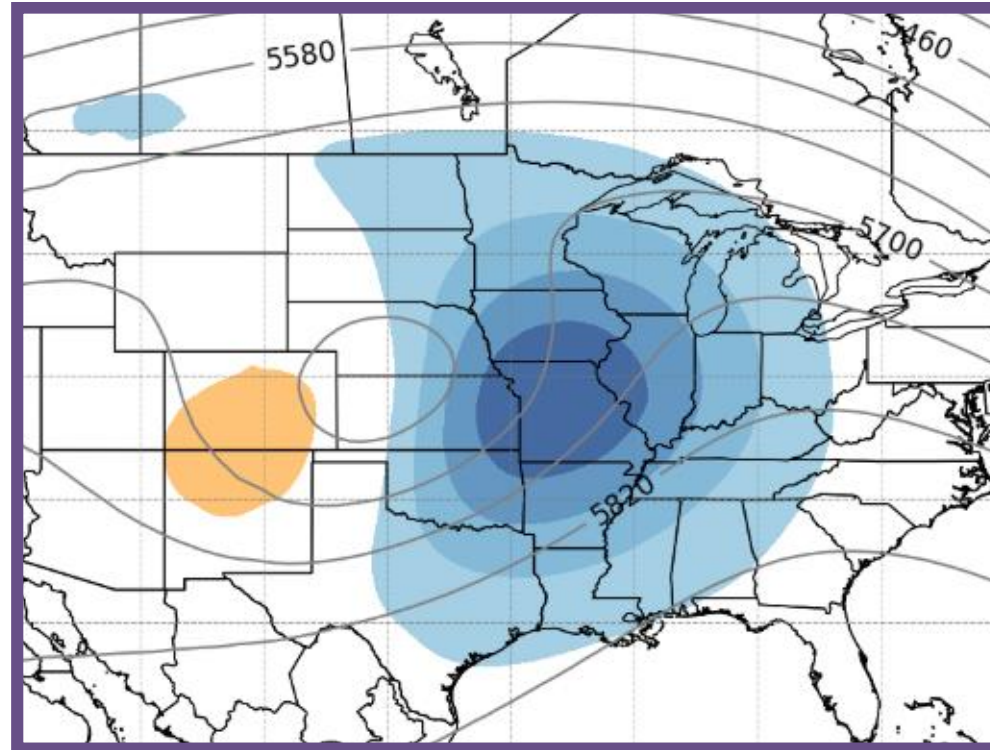


What about when you *do* have a lot of diversity in your scenarios? Where does that forecast uncertainty come from?

Ensemble Sensitivity Analysis (ESA) tells us how the atmosphere needs to evolve early on in order to look like a given EOF!

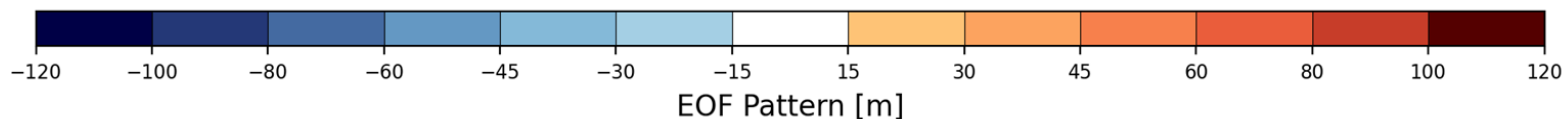
Let's correlate the phase speed uncertainty of the pattern with the early 500-hPa height field

EOFs of 24-hour Mean 500-hPa Heights [meters]  
Init: 00Z Mon Nov 4 2024 --> Valid: 24-hours Ending 00Z Sun Nov 10 2024



Uncertainty:  
Position of trough  
relative to full  
ensemble mean  
(phase speed)

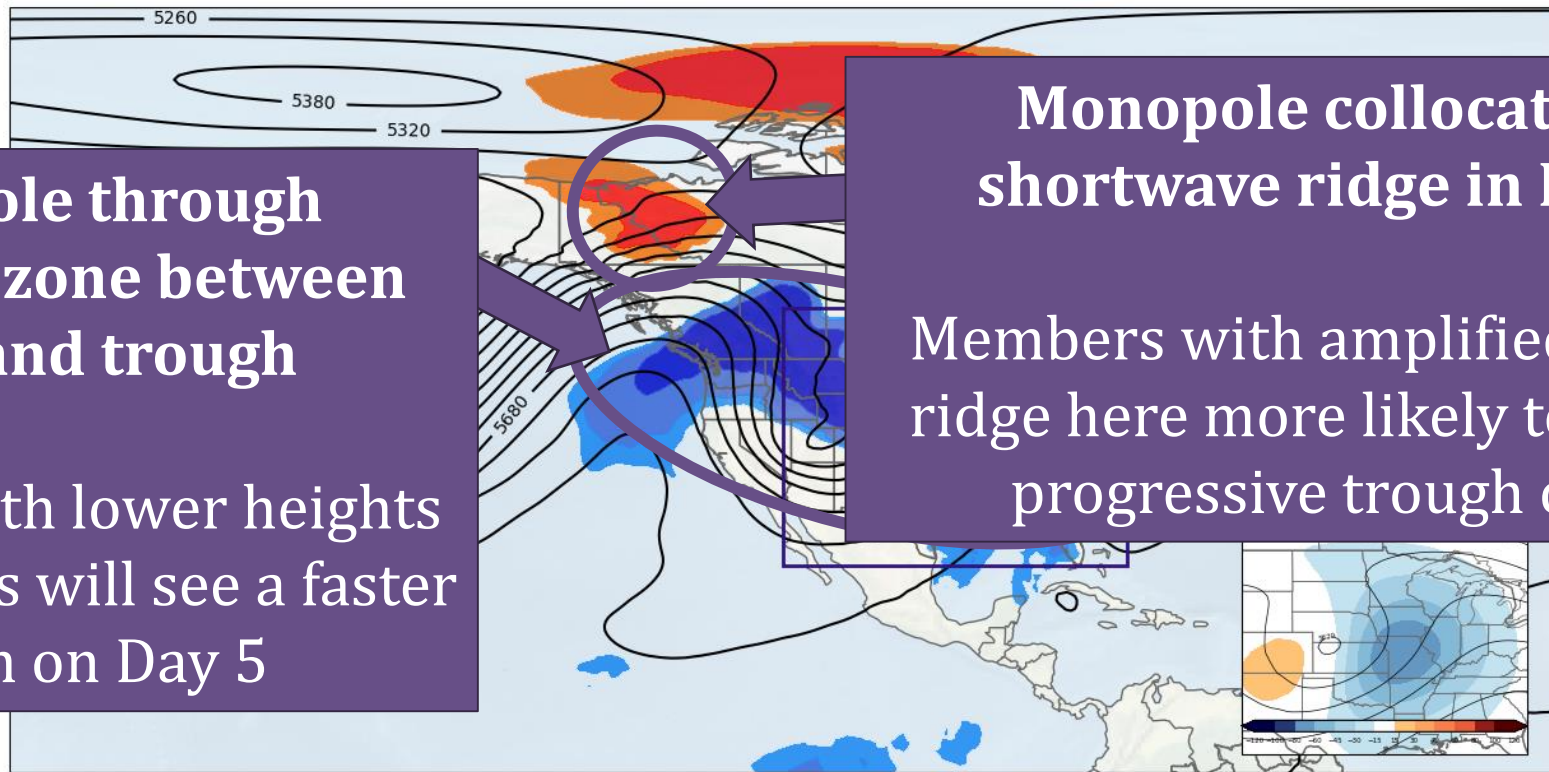
+ PC1 means trough  
shifted to the East  
  
- PC1 means trough  
shifted to the West



ESA shows us what the ensemble “cares about” most when predicting the position of the trough at Day 5

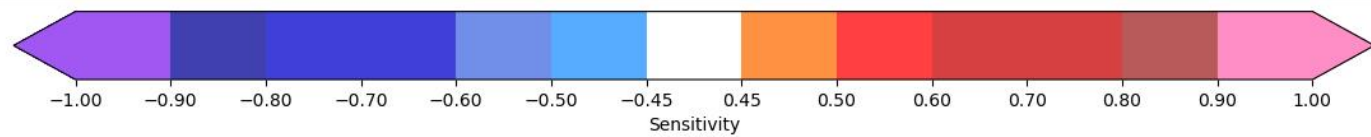
SENSITIVITY OF: 24-hr Averaged 500-hPa GPH PC1 at 11/10/2024 00 UTC (Day 5)  
SENSITIVITY TO: 500-hPa GPH at 11/06/2024 06 UTC (f054)

INIT: 11/04/2024 00 UTC



**Monopole through transition zone between ridge and trough**  
Members with lower heights in these areas will see a faster trough on Day 5

**Monopole collocated with shortwave ridge in ESA Field**  
Members with amplified shortwave ridge here more likely to have more progressive trough on Day 5



# Future Clustering & ESA Work

- Adopting a cluster consistency approach in DESI similar to [that used by the Japan Meteorological Agency](#)

*(expected Jan 2025 DESI release pending positive test results next week)*

- Creating a verification dashboard with bulk long-term cluster statistics

*(expected summer 2025)*

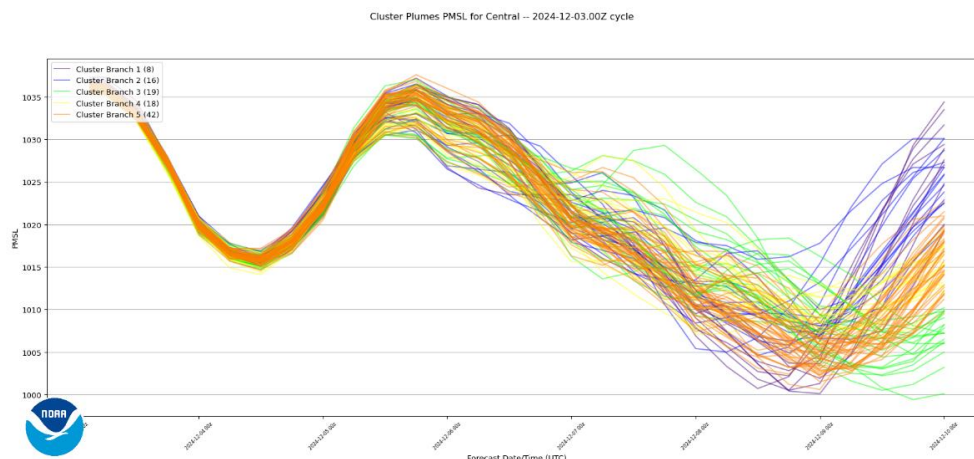
- Exploring idea of a cluster blender product that allows for weighting of the forecast based on clusters

*(playing with idea spring & summer 2025)*

- Collaborating with Greg Mann (SOO of NWS Detroit) to potentially develop a temporal clustering application

Idea is to group similar members in time rather than space

Plan view clusters also allowed to merge and branch off in time when “distance” between clusters crosses a certain threshold



# Take-Home Points

Ensemble clustering is a quick way to distill an ensemble forecast down to its prevalent scenarios

Ensemble sensitivity analysis (ESA) provides context on how the atmosphere must evolve to lead to different cluster scenarios

Testament to the potential of data mining ensemble systems

- As we continue to build techniques that extract information from these datasets, need to keep forecaster needs at the forefront
- Lots of room for O2R/R20 in these spaces

# Links!

[Day 3-9 500Z Clusters + Ensemble Sensitivity Analysis Page](#)

[FFaIR 2024 RRFSe QPF Clusters](#)

[Days 1-6 CONUS QPF \(NBM 4.2 QMDs\) Cluster Page](#)

[Days 3-9 500Z \(CMCE+GEFS+ECMWF\) Cluster Page](#)

[Days 3-9 MSLP \(CMCE+GEFS+ECMWF\) Cluster Page](#)